NOTES AND CORRESPONDENCE

A Dynamical Downscaling Case Study for Typhoons in Southeast Asia Using a Regional Climate Model

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ABSTRACT

This study explores the possibility of reconstructing the weather of Southeast Asia for the last decades using an atmospheric regional climate model, the Climate version of the Lokal-Modell (CLM). For this purpose global National Centers for Environmental Prediction–National Center for Atmospheric Research (NCEP–NCAR) reanalyses data were dynamically downscaled to 50 km and in a double-nesting approach to 18-km grid distance. To prevent the regional model from deviating significantly from the reanalyses with respect to large-scale circulation and large-scale weather phenomena, a spectral nudging technique was used.

The performance of this technique in dealing with Southeast Asian typhoons is now examined by considering an ensemble of one simulated typhoon case. This analysis is new insofar as it deals with simulations done in the climate mode (so that any skill of reproducing the typhoon is not related to details of initial conditions), is done in ensemble mode (the same development is described by several simulations), and is done with a spectral nudging constraint (so that the observed large-scale state is enforced in the model domain). This case indicates that tropical storms that are coarsely described by the reanalyses are correctly identified and tracked; considerably deeper core pressure and higher wind speeds are simulated compared to the driving reanalyses. When the regional atmospheric model is run without spectral nudging, significant intraensemble variability occurs; also additional, nonobserved typhoons form. Thus, the insufficiency of lateral boundary conditions alone for determining the details of the dynamic developments in the interior becomes very clear. The same lateral boundary conditions are consistent with different developments in the interior. Several sensitivity experiments were performed concerning varied grid distances, different initial starting dates of the simulations, and changed spectral nudging parameters.

1. Introduction

For assessing risks related to weather phenomena as well as for identification of anthropogenic signals in the weather record, long homogeneous time series are needed. In the case of marine phenomena, the interest is mostly with wind statistics, in particular strong wind incidents, that is, wind storms. Unfortunately, long homogeneous time series of wind speeds are rarely available because of the sensitivity of recording wind to local environmental change, changing instrumentation, and observation practice (Bärring and von Storch 2004).

In recent years, a dynamical downscaling strategy has been developed. It has been applied to the problem of determining a high-resolution hindcast of the weather of western Europe for the last decades (Feser et al. 2001; Sotillo et al. 2005; Weisse et al. 2005), and of seasons in Southeast Asia (Lee et al. 2004; Kang et al. 2005). The idea is to force large-scale synoptic information provided by reanalyses, such as those prepared by the National Centers for Environmental Prediction–National Center for Atmospheric Research (NCEP–NCAR; Kalnay et al. 1996), upon a regional atmospheric model (RCM; von Storch et al. 2000; Miguez-Macho et al. 2004). A similar approach has also been used for oceanographic simulations (see Wright et al. 2006).

The main goal in downscaling is to obtain regional weather phenomena that are influenced by the local
orography, land–sea contrast, and small-scale atmospheric features (e.g., convection). The dynamical downscaling is supposed to retain all the large-scale information that can be resolved well by the global model or reanalyses. It should also add regional information that the coarse-resolution global model could not generate. For this purpose limited-area models require meteorological information at their boundaries from either a global climate model or reanalysis data. But, regional models have to deal with the mathematically not-well-posed problem of lateral boundary conditions. That is, there is in general no well-defined solution fitting all prescribed boundary conditions in the model interior. There may be several or even no solutions fitting both the model equations and the boundary conditions; therefore, in general no unique solution can be specified as demanded in mathematical terms. This effect will be demonstrated later in this article. The inconsistencies between the model solution and the global forcing field along the boundaries produce noise and often instabilities. This problem was efficiently rectified by the inclusion of a sponge zone (Davies 1976). This broadened buffer zone features exponentially decreasing weights ensuring the consistency of the interior flow with the boundary conditions at the outflow boundaries.

Castro et al. (2005) examined to what degree boundary conditions steer processes in the limited-area model interior. They found that the larger model domains become, the less influential the lateral boundary conditions become for the variability of small-scale features, while at the same time surface boundaries exert relatively greater control on the RCM solution. Their results also showed that the influence of the surface boundary forcing was highly dependent on the model experimental design, such as domain size, nudging options, specification of the surface boundary itself, and model parameterization schemes.

If the regional model is driven only by boundary conditions without controlling large-scale weather phenomena in the model interior some problems with large-scale features may occur, especially for blocking weather situations. Thereby the domain size is an important factor. Large model areas are more affected by large-scale deviations between the global and regional model. But also the exchange rate between the lateral boundaries and the inner model domain has an immediate effect on the large-scale constraint the driving global model exerts on the limited-area model. Rinke and Dethloff (2000) showed that in the circumpolar Arctic domain the lateral boundary control is weaker compared to that in model areas of similar domain size in midlatitude areas, which are regularly “flushed” by the normally eastward circulation through the area. They also showed that RCMs may develop systematic errors in the simulation of the large-scale flow if the boundary forcing is weak.

Global and regional climate models have their individual best simulation performance at different spatial scales. Global models perform best for large spatial scales, a distance of five to six grid boxes, and more are often considered to be trustworthy. This lies in the range of about 800 km and larger, depending on the global model’s resolution. In the downscaling approach (von Storch 1995), the regional model should not modify these scales well resolved by the global model. Laprise (2003) introduced a technique to identify the resolved scales and the nonlinear interactions between these scales in nested limited-area models by adopting a spectral perspective.

Kida et al. (1991) presented a new concept of a spectral boundary to join global and regional climate models. In this technique large-scale fields of a limited-area model are replaced with the corresponding large-scale fields supplied externally from the global climate model at a selected regular time interval. It was then further developed by Waldron et al. (1996) to a method that allows imposition of the large-scale fields throughout the limited-area model domain. Von Storch et al. (2000) used and further refined the spectral nudging technique to nudge the long waves in the regional domain to those of the driving global reanalyses in a multidecadal climate hindcast study. This method adds nudging terms to the regional model solution that nudge the atmospheric fields toward the direction of the global driving fields. Horizontal wind components were nudged to match the driving reanalyses, but only at large spatial scales. Thereby the nudging coefficients were applied exclusively for low wavenumbers and above 850 hPa, with increasing strength for higher model levels. The method was also implemented into an RCM to study the East Asian summer monsoon (Kang et al. 2005). They found that the nudging led to improved model performance not only in simulating the mean features but also in capturing individual precipitation events. Recently, a new approach to nudge the large scales of the driving global model to a regional spectrally represented model was presented by Kanamaru and Kanamitsu (2007). In contrast to a gridpoint model, a spectral model only computes perturbations of a mean state given by the global forcing model. In this respect, this concept is strongly related to the idea of spectral nudging.

The issue of the added value provided by RCMs is still contested. A dedicated analysis of the output of a spectrally nudged RCM on different spatial scales has
shown that the RCM performs better than the global reanalysis on medium scales (250–550 km), which are insufficiently resolved by the reanalysis (Feser 2006). For large spatial scales (>700 km) an added value was detected for heterogeneous variables like near-surface temperature, whereas the more homogeneous sea level pressure was not better described than by the driving NCEP reanalyses. With spectral nudging a slight improvement was found for subsynoptic scales, but without large-scale constraint the simulation got worse on all spatial scales. J. Winterfeldt (2007, personal communication) examined northeast Atlantic storms in the RCM dataset. On a case-by-case basis, not all storms are reproduced well, but if statistics are considered, the reproduction is satisfactory without bias and with a variability similar to the observed for coastal regions. Castro et al. (2005) analyzed the value restored and added by dynamical downscaling and found an added value especially for surface boundary forcings like variations in topography. If no interior nudging was used, the RCM had greater errors at large spatial scales as both horizontal grid spacing and domain size increased. They recommend usage of a spectral nudging technique to preserve the value added at the small scale while avoiding a large-scale kinetic energy loss.

A multidecadal hindcast for western Europe (Feser et al. 2001) showed that for certain weather conditions large deviations between the driving global reanalyses and the regional model may occur on large scales, if the simulation is not constrained on these scales. Significantly different simulation pattern emerged. This unfavorable feature was avoided by constraining the simulation with spectral nudging (von Storch et al. 2000).

Hourly high-resolution wind and air pressure fields of this regional hindcast have been used to assess changing ocean wave conditions (Soares et al. 2002; Gashlikova and Weisse 2006; Weisse and Pliß 2006), coastal currents, and storm surges (Weisse et al. 2005; Aspelien 2006) in the northeast Atlantic. The resulting datasets of ocean wave parameters and storm surge heights have been used in a number of impact studies related to offshore activities, ship construction, or coastal defense.

The authors suggest implementing a similar method to reconstruct tropical weather in Southeast Asia. Obviously, the key problem is the simulation of typhoons. Landman et al. (2005) have demonstrated that even with a relatively coarse resolution of 60 km, tropical storm tracks and the vortex intensities are described by a regional atmospheric model (without large-scale constraint). Recently Camargo et al. (2007) explored the feasibility of downscaling seasonal tropical cyclone activity in a global model with observed sea surface temperatures using a regional model. Their results show that the representation of the tropical cyclones was improved but they were not as intense as observed cyclones. The regional model did not reproduce the lower number of tropical cyclones in 1998 (Nakazawa 2001) compared to 1994. Walsh et al. (2004) used dynamical downscaling to simulate tropical cyclones over Australia. They used a fine horizontal resolution of 30 km, which resulted in a good climatological simulation of tropical cyclone formation. An experiment with enhanced greenhouse conditions showed an increase in tropical cyclone maximum intensities.

Obviously, the success of describing the climatology of tropical cyclones requires that the model is describing the large-scale circulation, in particular the monsoon system, adequately. Unconstrained regional climate model simulations often fail to do so. Fu et al. (2005) examined the quality of several RCMs for simulating the Asian monsoon climate within the regional climate model intercomparison project for Asia. Another study using reanalysis data as input for a regional model was presented by Chan et al. (2004). They modified the Regional Climate Model of the National Climate Center of China to simulate the summer monsoon rainfall over South China and the South China Sea more realistically. A 10-yr Southeast Asia regional climatology for May and June driven by reanalysis data was described by Liu et al. (2006). This model was able to reproduce the main features of the monsoon circulation and the vertical structure of the atmosphere as well as the intensification and northward movement of the upper anticyclone from May to June.

In the current study the performance of the dynamical downscaling method (using spectral nudging as a large-scale constraint) was explored in order to apply it to Southeast Asia. The results of constructing a very strong and large typhoon, namely, Winnie in August 1997, are described. The rationale of doing so is to determine how well individual storms are caught and described by this method, even if it is not expected that all details are described. At a later time, the performance of the approach in describing an ensemble of storms will be examined.

2. Testing the approach with a typhoon: Winnie, August 1997

Typhoon Winnie formed at 7°N, 167°E inside of the eastern part of the regional model domain (Fig. 1) on 6 August 1997. The RCM simulations are initialized with NCEP–NCAR reanalyses (in the following just called “NCEP” for brevity reasons) at or shortly after 1 August 1997. The storm itself is described by NCEP re-
analyses with a minimum core pressure of 965 hPa (at 0600 UTC) and maximum near-surface wind speeds of 29 m s\(^{-1}\) (at 1800 UTC) on 17 August 1997, which is too weak compared to the “best track data” [Japan Meteorological Agency (JMA), http://www.jma.go.jp/jma/jma-eng/jma-center/rsme-hp-pub-eg/trackarchives.html] of 915 hPa and 51 m s\(^{-1}\) on 12 and 13 August 1997.

The state-of-the-art RCM CLM (http://www.clim-community.eu) is used, which is the climate version of the operational weather forecast model Lokal-Modell (LM; Steppeler et al. 2003) of the German Weather Service. Starting from the next model version 4.0, the LM and CLM will be merged and the user will be able to either select the climate or the forecast mode. The CLM is an RCM (which can be run in hydrostatic or nonhydrostatic mode) developed from the LM by the CLM community. Since 2005 it has been the community model of the German climate research. The model has been used for simulations on time scales up to centuries and spatial resolutions between 1 and 50 km. The advantages of this RCM are mainly the possibility to use it in nonhydrostatic mode when increasing the horizontal resolution and that spectral nudging can be selected. It runs with standard parameterizations for physical processes; for convection the Kain–Fritsch parameterization scheme (Kain 2004) has been selected. The model was chosen to run with a 0.5° grid, which corresponds to about 50 km. Instead of eight grid points is used. The spectral nudging is applied to the two horizontal wind components: below 850 hPa no nudging is used; beginning with 850 hPa a weak nudging (corresponding to an e-folding decay time of an introduced disturbance of 20 days) is adopted, which increases with height to an e-folding time of approximately 2 h at 100 hPa (von Storch et al. 2000). The e-folding decay time describes the time that an introduced disturbance would need to be damped by the model system. A stronger nudging coefficient will lead to shorter e-folding decay times. Nudged are all horizontal wind components on spatial scales larger than about 750 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km. Embedded into the 50-km simulation region is a smaller region with a grid length of 18 km.
The best-track data; the spectrally nudged RCM simulations all follow the best-track data closely, even during the phase when NCEP deviates a bit. One simulation without spectral nudging is also close to the best-track data, but the other unconstrained RCM simulations deviate to the north of the typhoon track. For the tracking a simple minimum pressure search was performed for the sea level pressure field. A comparison with more sophisticated methods by, for example, Hodges (1994, 1995) did not reveal any significant differences for this particular case study of Typhoon Winnie (not shown).

The temporal development of the typhoon, in terms of core pressure and maximum near-surface wind speed is shown in Fig. 5 for JMA best-track data, for NCEP reanalyses, and for different configurations of spectrally nudged CLM simulations. For the time being only the curve “CLM 0.5 degrees” is of relevance; the other curves refer to results obtained with higher resolution and are discussed later (see section 3). Obviously, the CLM simulation (gray solid line) creates significantly lower core pressure values and higher maximum near-surface wind speeds than NCEP (gray dashed line). Minimum core pressure in NCEP is on 17 August about 965 hPa, whereas CLM generates about 930 hPa at the same time. In the best-track data (gray dots), however, the maximum intensity is reached earlier, on 12 and 13 August, with a core pressure of 915 hPa. A similar situation holds for wind speed, which is about 29 m s$^{-1}$ in NCEP and 40 m s$^{-1}$ in CLM about 3.5 days after a maximum of more than 50 m s$^{-1}$ in the best-track data.

To demonstrate the additional detail, two digital 2D isotropic filters [with a footprint of $2 \times 8 + 1$ grid points (Feser and von Storch 2005)] were applied. One filter approximately isolates “large” scales (diameters larger than about 625 km) and another filter “medium” scales (diameters between 180 and 360 km). Note that the filter response function only approximates an ideal response function with 1’s for the retained scales and 0’s for the suppressed scales. The actual response function deviates from the ideal form. The retained scales are somewhat dampened, and traces of the suppressed scales remain. The sum of the filtered components has less variability than the original field. The filter allows,
however, a comparison of scale contributions in different fields.

In Fig. 6 spatially filtered maps of air pressure for Taiwan and its surroundings are shown for NCEP (left column) and for the spectrally nudged RCM simulation (right column) initiated on 1 August 1997. Results are shown for NCEP and spectrally nudged CLM on 0000 UTC 17 August 1997. For that purpose, NCEP was interpolated to the 50-km grid of CLM. To be able to distinguish between positive and negative values, negative values are plotted as dashed isolines.

The large-scale filtered component is dominated by Winnie’s low pressure system with 20-hPa deviation from mean pressure and less for NCEP and less than 25 hPa in CLM (Fig. 6). This circular low pressure system is modulated by medium-scale structures in both NCEP and CLM, with a further pressure deepening in the center (6 hPa in NCEP; 8 hPa in CLM), and an attenuation of the low pressure in a surrounding ring. Obviously, the regional climate model adds significant regional detail; that this is actually an improvement is indicated by the deepening of the core pressure (Fig. 5).

3. Sensitivity experiments

A series of sensitivity experiments have been conducted. They deal with the dependency of the simulation to different initial states (Ji and Vernekar 1997; Rinke and Dethloff 2000; Weisse et al. 2000; Caya and Biner 2004) and with the role of higher resolution and with changed spectral nudging parameters. The dependency on different initial states is examined by considering a series of four different simulations that were initialized with analyses from different days at the beginning of August 1997. When spectral nudging is invoked the different nudged simulations differ very little amongst each other (Fig. 4), as was the case with northeast Atlantic climate in Weisse et al. (2000) and Weisse and Feser (2003). The large-scale constraint obviously steers the track of the cyclone tightly. This is quite different in the case of four simulations without spectral nudging. The tracks in three of four simulations deviate jointly quite a bit from the best track (Fig. 4), whereas one follows the best track much better.

When looking at the air pressure maps, one finds that Winnie is in all cases simulated, but that additional, unobserved typhoons were generated (Fig. 7). In one case, a strong tropical storm formed in the Bay of Bengal, while the other simulations just show a closed 1000-hPa isobar. Satellite data or the best-track database as well as the NCEP reanalyses do not show a tropical storm in this area. This behavior is probably due to the fact that the process of forming tropical storms is a strongly nonlinear process involving chaotic dynamics. Thus slightly different initial conditions can lead to rather different developments in the interior of the simulation domain. Interestingly, Winnie formed in all four cases.

Kobayashi and Sugi (2004) showed that increased grid resolution improves the simulation of the Asian monsoon. Tropical cyclones occurred more frequently and with higher intensities with increasing model resolution in their global model. For their highest resolution of T213 they simulated about 6 times more tropical storms than with a resolution of T42, but still the number of simulated storms only reached about 73% of the observed number. Also a mesoscale model comparison (Nagata et al. 2001) showed that horizontal resolution enhancement from 50 to 10 km has a great impact on intensity prediction of a typhoon while the track prediction was unaffected by the increased grid resolution.

For this paper the CLM was run, with spectral nudging as described above, also with higher resolution of 18
km using the “double nesting approach” (Fig. 1). The results of the 50-km run were used as input for the higher-resolution run. The typhoon track in the high-resolution simulation is very similar to the track in the 50-km run (not shown). However, the core pressure is somewhat deeper, and the maximum near-surface winds slightly stronger (black dashed line in Fig. 5). The storm develops slower in the beginning, but intensifies quickly on 12 August, comparable to the development in the best-track data. Zhang et al. (2005) showed that Typhoon Winnie featured an outer and inner eyewall. They were able to reproduce this double eyewall with the fifth-generation Pennsylvania State University–National Center for Atmospheric Research Mesoscale Model (MM5) at a resolution of 9 km. In the current study only one eyewall could be simulated, probably because the finest resolution of 18 km was still too coarse to resolve these mesoscale structures.

In another experiment, the regional model was again run with a grid distance of 18 km for the small model domain of Fig. 1, but in this case the relatively coarse NCEP boundary conditions were directly taken as input for the 18-km model. Interior nudging was used as well in this experiment. The CLM was nudged for wavenumbers matching the well-resolved scales of the NCEP reanalyses. This simulation yields clearly worse results than the 50-km resolution run; the track becomes very noisy (not shown; it “spirals” around the main track). The core pressure and the maximum near-surface winds become similar to the values in the driving NCEP reanalysis (black solid line in Fig. 5).

The dependency on the spatial scales used for the

![Spatially filtered air pressure fields showing pressure deviation (hPa) at 0000 UTC 17 Aug 1997. (left) NCEP–NCAR reanalysis after interpolation on a 50-km grid; (right) RCM simulation on a 50-km grid. (top) Large scales retained (diameter ≥600 km); (bottom) medium scales retained (≥180 km and ≥360 km). Negative values are also plotted as dashed isolines.](image-url)
Spectral nudging was also examined. Different simulations for both the CLM with 50-km and with 18-km grid distance were calculated. The spectral nudging was applied for scales larger than 2000 and 3000 km while the nudging coefficient was increased from 0.05 to 1.0. The results showed only small deviations from the runs with the spectral nudging configurations discussed before.

4. Summary and conclusions

In this work the authors wanted to assess the feasibility to transfer a high-resolution hindcast approach, which was successfully applied to western Europe (Feser et al. 2001), Southeast Asia, and the northwest Pacific. Thereby the main new task for the regional model is the simulation of typhoons. These ensemble simulations (with and without usage of spectral nudging) were computed in climate mode, so that any skill of reproducing the typhoon is not related to details of initial conditions. Case studies were computed to evaluate the model's ability in generating typhoons inside the model domain (without introducing an artificial vortex) and in improving core pressure and near-surface wind speed compared to the driving reanalyses. The tracks, core pressure, and wind speed developments of Typhoon Winnie were extracted from reanalyses and regional model runs and compared to best-track data. Sensitivity experiments were computed to test the effects of higher grid resolutions and spectral nudging configurations as well as the dependency of the simulations to their initial states. From this typhoon simulation study three conclusions can be drawn, which go beyond findings of previous studies:

1) Some typhoons can be described at least partly realistically with the dynamical downscaling concept, using coarse-grid NCEP reanalyses and regional atmospheric models—which is consistent with the success reported by Landman et al. (2005). In the case analyzed in this paper, Winnie from August 1997, the storm was captured and described by the reanalysis so that the RCM did not need to “invent” the storm. The tropical cyclone started to develop inside of the regional model domain and did not just pass through the lateral boundaries, at least not in any even minor developed stage. It is supposed that the initiation of the tropical cyclone development inside of the RCM domain is controlled by the large-scale forcing data, both for the 0.5° simulation forced by reanalyses as well as for the 0.165° simulation forced by the 0.5° CLM run. The storm was simulated in greater detail than in the NCEP description; also the core pressure was considerably deeper and the winds stronger. Further experiments need to be made with less strong storms; first results indicate that sometimes such less energetic storms are not captured as well.

2) The presented experiments indicate that lateral boundary control is insufficient to reconstruct the number and track of typhoons in a given synoptic situation. Of course, this depends on the size of the domain; in smaller domains the lateral control is more efficient (Castro et al. 2005). The inclusion of large-scale constraints, as for instance spectral nudging, is helpful in forming the storms along the right track.

3) Using a double-nesting approach has an effect on the simulation, which is believed to be a slight improvement compared to the 50-km simulation in terms of core pressure development and near-surface wind speed. But the typhoon tracks were very similar; this finding is in compliance with the results of Nagata et al. (2001).
It is stressed that the reported results concern just one case. Before engaging in a multidecadal retrospective simulation more cases and specific storm-rich and storm-poor seasons will be examined. Thereby it will be assessed whether they return the right storm statistics (in frequency, location, and intensity) conditional upon a number of large-scale factors, such as SST, vertical stability, and the like.

A major problem for this analysis is the lack of a reliable database for typhoons in Southeast Asia that homogeneously describes air pressure fields, wind speed fields, and other meteorological variables to evaluate the regional climate model simulations in more detail. Only recently, Zhang et al. (2007) presented a high-resolution tropical cyclone reanalysis for selected western Pacific typhoons of the year 2004, which has great potential for regional model validation efforts.

The authors think that the results of their case study are promising so that the next step will be to compare different typhoon seasons with many and few typhoons. Afterward a multidecadal hindcast for Southeast Asia will be computed resembling the approach presented by Knutson et al. (2007) for Atlantic hurricanes. The regional atmospheric model data will serve as input for wave, sea level, and storm surge models. These results should enable the assessment of possible changes in the atmospheric and maritime climate for Southeast Asia during the last decades.

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